

## DOE FOREIGN INFLUENCE DISCLOSURE – PI QUICK GUIDE

Congress and the US federal agencies have been increasingly concerned foreign entities may seek to unduly influence U.S. research. External collaborations, including international collaborations, are an essential part of research and scholarship at the University of Texas at Austin.

This document is a tool to aid researchers to meet DOE disclosure requirements listed in the [DOE O 486.1](#) and [DOE O 142.3A Change 2](#).

Refer to specific DOE Funding Announcement instructions on Current and Pending Support

### UT Resources

UNIT	SUPPORT PROVIDED	CONTACT INFORMATION
<a href="#">Office of the Vice President for Research (OVPR)</a>	OVPR provides oversight to all sponsored research conducted at UT.	Phone: 512-471-2877 Email: <a href="mailto:vp-research-sr@austin.utexas.edu">vp-research-sr@austin.utexas.edu</a>
<a href="#">Office of Research Support and Compliance (RSC)</a>	Provides oversight to the policies and procedures regarding Foreign Influence, Conflict of Interest in Research, Research Integrity, IRB, IACUC, & IBC	Phone: 512-471-8871 Email: <a href="mailto:orsc@uts.cc.utexas.edu">orsc@uts.cc.utexas.edu</a> Science and Security Office: <a href="mailto:sas@austin.utexas.edu">sas@austin.utexas.edu</a>
<a href="#">Office of Sponsored Projects (OSP)</a>	Provides assistance with proposal review, authorization, & submission; award negotiations & acceptance; account management; close-out, reports, audits, collection; export control, and research compliance.	Phone: 512-471-6424 Email: <a href="mailto:osp@austin.utexas.edu">osp@austin.utexas.edu</a> (pre-award) <a href="mailto:spaa@austin.utexas.edu">spaa@austin.utexas.edu</a> (post award)

## Foreign Involvement

		DESCRIPTION	APPLICABILITY	NOTES
Foreign Involvement Allowability	1)	Researcher participating in a foreign talent program may not at the same time receive support from DOE.	Apply to any DOE-funded contract, subcontract, and federal flow-through contract, including contract modifications.	Currently does not apply to CRADAs and any financial assistance agreements (grants & cooperative agreements).
	2)	Foreign national access to DOE sites, information, equipment, or technologies	DOE approval needed for any foreign national access requests. May apply to any DOE or DOE Laboratory sponsored contract, subcontract, federal flow-through contract including modifications. Also applies to CRADAs and financial assistance awards (grants & cooperative agreements)	<b>Foreign national</b> is defined as any person who is not a US citizen by birth nor naturalization.  DOE must specifically include <a href="#">the Order</a> in new agreements or as modifications to existing agreements in order to be applicable.

## Contractor Requirements

		DISCLOSURE REQUIREMENT	UT UNIT RESPONSIBLE FOR SUBMISSION	NOTES
UT Disclosure	1)	UT must disclose Foreign Government Talent Recruitment Program participation by any UT contract participant (funded or unfunded) in a DOE Contract who will access US Government or Government contractor facilities or systems	UT policy requires all employees must disclose & seek prior approval for involvement in these programs. Questions about involvement should be directed to the Science and Security Office in RSC at <a href="mailto:sas@austin.utexas.edu">sas@austin.utexas.edu</a> .	Disclosure requirements can apply to any DOE funded contract, subcontract and federal flow-through contract, including contract modifications.  Requirements are listed in <a href="#">Attachment 1 to DOE O 486.1</a>
	2)	Disclose funded or unfunded activities such as honoraria for activities such as evaluating proposals for foreign entities	PD/PI should disclose in Current & Pending Support	Federal guidance is not clear regarding these activities needing to be disclosed. UT recommends disclosure of these activities when foreign influence-related disclosure is required.