



VICE PRESIDENT FOR RESEARCH  
THE UNIVERSITY OF TEXAS AT AUSTIN

Main Bldg. Room 302 • P. O. Box 7996 G1400 • Austin, TX 78713  
Office: 512-471-2877 • Fax: 512-471-2827

TO: Deans, Department Chairs, Directors, and Principal Investigators  
FROM: Juan M. Sanchez, Vice President for Research  
SUBJECT: U.S. Export Laws and Regulations

A handwritten signature in black ink, appearing to read "Juan M. Sanchez".

The export of certain technologies, software, and hardware is regulated and controlled by Federal law for reasons of national security, foreign policy, prevention of the spread of weapons of mass destruction and to comply with international agreements. The University and all its employees are required to comply with the laws and implementing regulations issued by the Department of State, through its International Traffic in Arms Regulations (ITAR), the Department of Commerce, through its Export Administration Regulations (EAR), the Department of Treasury through its Office of Foreign Asset Controls (OFAC), as well as the regulations of other Federal agencies. With the proliferation of threats to the national security of the United States, the importance of compliance with these regulations is of paramount importance.

While most research conducted on U.S. college and university campuses is excluded from these regulations, university research involving specified technologies or items, transactions or exchanges with designated countries, individuals and entities, or contractual provisions may require the University to obtain prior approval from the sponsor and/or appropriate agency before allowing foreign nationals to participate in research, collaborating with a foreign company and/or sharing research – verbally or in writing – with persons who are not U.S. citizens or lawful permanent residents. The consequences of violating these regulations can be quite severe, ranging from loss of research contracts to monetary penalties to jail time for the individual violating these regulations.

The export control regulations affect not only research conducted on campus, but also travel and sending items outside the U.S. Simply travelling to certain sanctioned countries could require a license from OFAC. OFAC sanctions prohibit transactions and the exchange of goods, services, technology, and software with certain countries and with designated persons and entities. Shipping items outside the U.S. as well as email, text, or taking controlled items on a flight, even we are shipping or traveling in the conduct of research, could require a license.

The University of Texas at Austin is committed to compliance with U.S. export controls. The export controls compliance unit of the Office of Sponsored Projects (OSP) is staffed to advise and assist faculty in conducting activities related to research and sponsored projects. More information and resources regarding these and other regulations that impact university activities can be found at <http://www.utexas.edu/research/osp/ECR&R.htm>, or by contacting David Ivey, Export Compliance Counsel and Export Control Officer, OSP at 512-475-7963 or [ivey@austin.utexas.edu](mailto:ivey@austin.utexas.edu).