3.1.0 Training Overview

All personnel working with laboratory animals must be appropriately qualified to do so in order to ensure the humane treatment of animals. Training is a classic performance standard where the emphasis is on the outcome (i.e., all personnel are qualified to do their jobs). Although the PHS Policy and Animal Welfare Regulations (AWRs) do not specify a particular program or the frequency with which a program should be offered, the requirement for competence is mandatory.

The AWRs, in Sec. 2.32 (a) and (b), specify:

It shall be the responsibility of the research facility to ensure that all scientists, research technicians, animal technicians, and other personnel involved in animal care, treatment, and use are qualified to perform their duties. This responsibility shall be fulfilled in part through the provision of training and instruction to those personnel. Training and instruction shall be made available, and the qualifications of personnel reviewed, with sufficient frequency to fulfill the research facility's responsibilities.

The PHS Policy, Section IV.C.1.f. places responsibility specifically with the IACUC to ensure that personnel conducting procedures on research animals are appropriately qualified and trained in those procedures. Personnel's training in the care and use of research animals is also an important aspect of the alternatives concept (replacement, reduction and refinement). Training in the recognition and alleviation of animal pain, distress, and abnormalities addresses refinement. Similarly, training in the conduct of animal procedures prepares staff to work without causing unnecessary harm to the animal. Technical proficiency also invokes reduction by avoiding wasted animal lives through failed procedures.

3.1.1 Who Should Receive Training?

All personnel should receive training if they interact directly with or work in the vicinity of animals. Training made available for each type of staff should be specific to the animal species involved and to the kind of procedures to be performed or animal-related interactions.

For training purposes, staff can be grouped as:
- Researchers (including Principal Investigators),
- Animal care technicians, and
- Other (e.g., maintenance or support staff).
In some instances, staff may not be clearly divisible into these groups if job responsibilities are more diversified than this classification suggests. For example, facility staff such as animal health technicians may have job functions that include both animal care and research procedures.

Training should also be made available to temporary staff, such as students and visiting scientists. PI’s are responsible for identifying these people and assuring that appropriate training is accomplished.

3.1.2 Training Requirements for University Laboratory Animal Users

The IACUC requires all personnel that conduct any research and/or teaching that involves handling, manipulating, or performing procedures on live vertebrate animals, whether in the laboratory or in the field to complete this training. Protocols will not be reviewed until all personnel listed on a protocol are current with their training.

The IACUC-required training involves AALAS Learning Library (ALL) web-based training modules and enrollment with the Occupational Health Program via health questionnaire. The actions needed are not complicated and can be completed at the Investigator’s own schedule. They involve:
1. Completing a brief online training tutorial for each of the species with which the Investigator will be working.
2. Completing a brief online training tutorial (3198: Orientation), specific to Investigators at The University of Texas at Austin
3. Enrolling in the Occupational Health Program-Laboratory Animal and Biomedical Services (OHP-LABS).
4. In some cases, an additional ALL course may be required for some rodent users. See section 3.1.5 of this policy for more information.

Refresher training for both Principal Investigator (PI) and Animal Users is required every three years.

3.1.3 AALAS Learning Library (ALL) Web-based Training Modules

This online training program was developed by the American Association for Laboratory Animal Science (AALAS) to provide required information on the humane care and use of lab animals as mandated by federal regulations. The AALAS Learning Library (ALL) provides training that is essential for technicians, veterinarians, managers, IACUC members, and investigators working with animals in a research or education setting. Researchers submitting IACUC documents (e.g., new proposals, protocol amendments, annual renewals, personnel modifications, etc.) are required to complete this training at least once every three years.

All researchers must complete the basic “3198: Orientation” (formerly the ARC Orientation Class). This module provides an orientation of the policies and procedures for animal research at The University of Texas at Austin. The topics covered include:
- Contact information and organizational structure of the ARC and other relevant University departments
- University guidelines for humane animal care and use
- IACUC functions and procedures
- Animal-related risks
- Reporting procedure for animal care and use concerns
In addition to the basic “3198: Orientation,” species-specific training is required if available for the species in use. If no species-specific module is available, then personnel must complete the “3199: Other Species Training Module (General Care and Use).”

To access these modules, the use of an EID and password to log into the system is required at the following link: https://spike.orsc.utexas.edu/ep2/. Specific instructions on how to access the course, as well as additional course information, can be found on the “Mandatory Training for Working with Animals” IACUC webpage.

### 3.1.4 Training Requirements for Personnel Working with Macaques

All personnel working with macaque monkeys must complete the primate safety training given by ARC veterinary staff prior to being listed as approved personnel on a protocol. The course will be designated in TRACKS as AN0055 entitled “Working Safely with Macaques in Research (class)”. Researchers should email vetstaff@austin.utexas.edu to request this training.

### 3.1.5 Additional Training Requirements for Some Rodent Users

The following personnel must complete the ALL course 5345, entitled “Post-Procedure Care of Mice and Rats in Research: Minimizing Pain and Distress”:
- Principal Investigators on rodent protocols involving surgery or anesthesia
- Rodent surgeons
- Rodent anesthetists

### 3.1.6 Training Requirements for Personnel Performing Surgery

Starting 10/1/23, the following personnel must complete the ALL course appropriate for the species, entitled “(6678) Rodent Surgery- The University of Texas at Austin” or “(6677) Large Animal Surgery- The University of Texas at Austin”:
- Principal Investigators on mammalian protocols involving surgery or anesthesia
- Mammalian Surgeons
- Mammalian Anesthetists

Starting 01/01/24, personnel performing mammalian surgery or providing anesthesia must also take a hands-on training course(s), provided by the ARC, depending on their role, or show demonstrated proficiency **within 120 days of approval**.

### 3.1.7 Training Requirements for All Rodent Users

Starting 01/01/24, all personnel working with mice must take the hands-on course “AN0080 Introduction to Mouse Biomethodology” provided by the ARC **within 120 days of approval**.

Starting 01/01/24, all personnel working with rats must take the hands-on course “AN0081 Introduction to Rat Biomethodology” provided by the ARC **within 120 days of approval**.

### 3.1.8 Procedure Specific Training

All labs must maintain Procedure Specific Training forms for all personnel. Research personnel involved in animal research must have the necessary knowledge and expertise in protocol procedures as well as species to be used on the protocol. It is the responsibility of the PI to ensure that their lab personnel are
properly trained in all procedures that they will be performing. A link to the form can be found here under the Animal Research Procedure Training Log section.

<table>
<thead>
<tr>
<th>Required Online Training</th>
<th>All species</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALL Online Intro to Species Module</td>
<td></td>
</tr>
<tr>
<td>Online Orientation Module</td>
<td></td>
</tr>
<tr>
<td>ALL Online Pain and Distress in Rodents Module</td>
<td>Principal Investigators on rodent protocols involving surgery or anesthesia, Rodent surgeons, Rodent anesthetists</td>
</tr>
<tr>
<td>ALL Online Aseptic Technique &amp; Anesthesia (Module is species dependent) – Required Starting 10/01/23</td>
<td>Principal Investigators on protocols involving mammalian surgery or anesthesia, Mammalian surgeons, Mammalian anesthetists</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Required In-Person Training</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Procedure Specific Training (provided and documented by laboratory)</td>
<td>All species</td>
</tr>
<tr>
<td>NHP Safety Course (ARC)</td>
<td>All macaque users</td>
</tr>
<tr>
<td>Surgical Skills and Anesthesia Course OR Demonstrated Proficiency in Surgery and Anesthesia (ARC) – Required Starting 01/01/24</td>
<td>Mammalian surgeons, Mammalian anesthetists</td>
</tr>
<tr>
<td>AN0080 Introduction to Mouse Biomethodology (ARC) – Required Starting 01/01/24</td>
<td>All mouse users</td>
</tr>
<tr>
<td>AN0081 Introduction to Rat Biomethodology (ARC) – Required Starting 01/01/24</td>
<td>All rat users</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Approval Date</th>
<th>Major Change(s) Approved</th>
</tr>
</thead>
<tbody>
<tr>
<td>06-08-2020</td>
<td>• Added section 3.1.5 “Additional Training Requirements for Some Rodent Users”</td>
</tr>
<tr>
<td>10-10-2022</td>
<td>• Added section 3.1.6 “Procedure Specific Training”</td>
</tr>
<tr>
<td>09-11-2023</td>
<td>• Added new training requirements for mammalian surgery and rodents</td>
</tr>
<tr>
<td></td>
<td>• Added table outlining training requirements</td>
</tr>
<tr>
<td>12-12-2023</td>
<td>• (Admin Update) Updated names of training courses to include course numbers</td>
</tr>
<tr>
<td>2-12-2024</td>
<td>• Update training completion timeframe form 40 days to 120 days post-approval for in-person training requirements. Updated new in-person trainings with course number and title in TRACKS.</td>
</tr>
</tbody>
</table>