

University of Texas at Austin
Institutional Animal Care and Use Committee

IACUC Policy # 5.3

Title	IACUC and IO Reporting		
Date of Approval	11-12-18, 10-11-2021		
Date of Last Revision	10-11-2021	Version	2.0

5.3.1 Reporting to OLAW & USDA

Annual Reporting

As required by PHS Policy and the Animal Welfare Act, the IACUC, through the IO, will submit annual reports to OLAW and USDA by December 1 of each year. The University's reporting period is October 1 – September 30. Annual reports will be submitted to AAALAC at least once every 12 months.

Other Reporting

The IACUC, through the IO, will promptly provide a full explanation of the circumstances and actions taken to the appropriate reporting agency as follows:

USDA-APHIS	<ul style="list-style-type: none"> • A change of operation affecting the institution's status as a research facility. • An animal activity suspension by the IACUC during a convened meeting with a quorum present. • A failure to correct a significant deficiency identified by the IACUC during a semiannual inspection.
OLAW	<ul style="list-style-type: none"> • Any serious or continuing non-compliance with PHS Policy. • Any serious deviations from the provisions of the Guide. • Any suspension of an activity by the IACUC.
AAALAC, International	<ul style="list-style-type: none"> • Unexpected animal deaths • Natural disasters • Significant animal rights activities (e.g., protests, break-in, property damage, FOIA or other open records request that includes AAALAC documents) • Inappropriate euthanasia techniques and/or failure to confirm euthanasia • Allegations/complaints/reports regarding animal welfare concerns • Lack of veterinary care • OLAW/USDA investigations • Changes in unit contact (include degree, title, address, phone and fax numbers, and email). • Changes in facility size, location, name if site visit is pending before Annual Report is to be submitted.

5.3.2 Reporting to Other Parties

All investigations by the Compliance Assessment Committee (CAS) and/or the IACUC will be reported internally at the completion of the investigation to the following individuals, as appropriate:

- Principal Investigator (PI) PI's Department Chair
- PI's School Director and/or College Dean
- Chair, IACUC
- Vice-Chair, IACUC
- Director, Office of Research Support and Compliance
- Director, Animal Resources Center
- Director, Office of Sponsored Projects (if project is externally funded)
- Vice President for Research

Approval Date	Change(s) Approved
10/11/2021	Updated information regarding annual reporting to OLAW, USDA, AAALAC, Int.