WELCOME TO FUNDED AGREEMENTS: An Informational Webinar

Explaining the expanded functionality of UTRMS-Agreements, one module of UT’s integrated electronic research management system

FACILITATOR
SARA CLOUGH
UTRMS Project Team

PANELISTS
MARK FEATHERSTON
ANNE MARSHALL

REBECCA LEAMON
Office of Industry Engagement

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Q&A Information

• Please use the Q&A window to submit your question to the panel. A panelist will respond and/or flag it for discussion.

• At the end of our program, we will further discuss questions as needed.
Content

• UTRMS Project Background & Timeline
• Expanded UTRMS-Agreements Module Functionality
  – What is meant by “Funded Agreements”?
  – Changes to Agreements-related Processes
• Resources for Help
  – Agreements Help Desk
• Question & Answer: Discussion
PROJECT BACKGROUND
The Office of the Vice President for Research is overseeing the replacement of mainframe-based legacy research applications with an enhanced electronic Research Administration (eRA) system.

The UT Research Management Suite will ultimately offer an integrated suite of pre-award and compliance software tools.

A competitive procurement resulted in a vendor selection and phased implementation starting in Fall 2019.
UTRMS Project Schedule

See the Scope page of our project website for more.
UTRMS-Agreements vs. UTRMS-Grants Modules

**Agreements**

Negotiated sponsored project contract details, negotiations, and signatures

- **Funded launching now, August 2021.**
- **Does not** include Award set-up information or financial integration.
- Applicable to **negotiated portion** of negotiated sponsored **contracts**, including subcontracts.

**Grants**

All funded award (grant & contract) basics, budgets, and financials

- **Launching late 2021.**
- **Includes award set-up/finances.** DEFINE integration to come post-launch, in 2022.
- Once launched, applicable to **every sponsored award** at UT Austin.
Beginning **Monday, August 2nd**, sponsored project-related Agreements will be requested, negotiated and executed in UTRMS-Agreements, now including **Funded Agreements**.
What constitutes a “Funded Agreement”?

What do we mean by “Agreement”?
A negotiated sponsored project-related contract entered into with an outside entity.

What is a “Funded” vs. a “Non-Funded” Agreement?
A Non-Funded Agreement is a non-financial (i.e. no exchange of funds) agreement facilitating the conduct of research or sponsored project work. Examples include Material Transfer, Non-Disclosure, Data Use/License, etc.
By contrast, a Funded Agreement is an agreement that provides compensation in exchange for the conduct of research or sponsored project work.

Which sort of agreements fall into the category of a Funded Agreement?
Funds-Coming-In & Outgoing Subawards, Sponsored Research Agreements (SRAs), Clinical Trials, Master Agreements, Industrial Affiliate Programs (IAPs), Various Donors Agreements (VDAs), and Research Participation Agreements (RPAs)

Note: IAPs, VDAs & RPAs will continue to be processed in RMS until launch of UTRMS-Grants later this year.
Which awards will be treated as "Funded Agreements"?

<table>
<thead>
<tr>
<th>Funds-Coming-In Award Type</th>
<th>Contracts Review / UTRMS-Agreements</th>
<th>UTRMS-Agreements SmartForm Selection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Contracts</td>
<td>Yes</td>
<td>Funded Agreement</td>
</tr>
<tr>
<td>State or Local Government Contracts</td>
<td>Yes</td>
<td>Funded Agreement</td>
</tr>
<tr>
<td>State or Local Government Grant Agreements</td>
<td>Yes</td>
<td>Funded Agreement</td>
</tr>
<tr>
<td>Sponsored Research Agreements</td>
<td>Yes</td>
<td>Funded Agreement</td>
</tr>
<tr>
<td>Non-profit / Foundation Grant Agreements</td>
<td>Yes*</td>
<td>Funded Agreement</td>
</tr>
<tr>
<td>Work Orders/Task Orders</td>
<td>Yes</td>
<td>Funded Agreement</td>
</tr>
<tr>
<td>Master Agreements</td>
<td>Yes</td>
<td>Master Agreement</td>
</tr>
<tr>
<td>Clinical Trial Agreements</td>
<td>Yes</td>
<td>Clinical Trial Agreement</td>
</tr>
<tr>
<td>Non-FDP Pass-Thru Subawards/Subcontracts</td>
<td>Yes</td>
<td>Funded Agreement</td>
</tr>
<tr>
<td>Industrial Affiliate Programs / Various Donors Agreements</td>
<td>No (until Nov 2021)</td>
<td>n/a</td>
</tr>
<tr>
<td>Federal Grants</td>
<td>No</td>
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</tr>
<tr>
<td>Federal Cooperative Agreements</td>
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</tr>
<tr>
<td>Foundation Fellowships</td>
<td>No*</td>
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</tr>
<tr>
<td>Personnel Assignment Agreements (IPAs) / Salary Agreements</td>
<td>No</td>
<td>n/a</td>
</tr>
<tr>
<td>FDP Pass-Thru Subawards</td>
<td>No</td>
<td>n/a</td>
</tr>
</tbody>
</table>

*Possible exceptions
New Agreements SmartForms

Subaward
Used only for Funds-Going-Out; SmartForms replace Sub. Info. Sheet

Funded Agreement
Used for all Agreement types not otherwise specified

Clinical Trial Agreement
Most often used by Dell Medical School

Master Agreement
Other Agreements under the Master may be generated and linked

Industrial Affiliate Programs
Will also be used for:
- Various Donor Agreements (VDAs)
- Research Participation Agreements (RPAs)

Not Yet Applicable!
Rolling out later this year with Grants

Applicable Aug. 2nd!
# Funded Agreements Business Process Changes

<table>
<thead>
<tr>
<th>Current</th>
<th>New</th>
</tr>
</thead>
</table>
| **Proposal Submission** | PRF submitted in RMS  
(No change until launch of UTRMS-Grants, expected November 2021) |
| **Contract Set-up Information** | Submitted via email with contract coordinator  
Grants or OIE team will request that new agreement be submitted in UTRMS-Agreements, specifying SmartForm selection based on type; Agreement SmartForms will capture all details |
| **Negotiation Details** | Logged in RMS negotiation log  
Logged in UTRMS-Agreements module |
| **Award Information** | Logged in RMS  
Award details, negotiation, & fully-executed document logged in UTRMS-Agreements  
(Award funding still managed in RMS until launch of UTRMS-Grants) |
| **Amendments** | Requested variously, especially via email with contract coordinator  
Active in RMS: central staff will populate a shell record, and draft an Amendment  
Active in UTRMS-Agreements: central staff will process an Amendment request (activity available to PI/DRA users in parent Agreement record) |
Agreements Help Desk

You can speak with representatives from OSP, OIE & the UTRMS Project Team each Wednesday at 10 AM throughout August:

Join Us on Zoom
If you’re somewhat familiar with UTRMS-Agreements

I’d like to try troubleshooting my issue myself

Review the available Resources & FAQs

We consider these living resources, so please suggest improvements.

I’d like more personal help with my specific issue or draft Agreement

Please drop by the Agreements Help Desk on Wednesday at 10 AM
If you’re new to or less comfortable with UTRMS

I’d like to schedule a personalized Agreements module training

Complete the Get In Touch form on our website

Please also leverage the Get In Touch web form to do all of the following:
• Schedule other UTRMS training
• Provide feedback on this webinar, the Agreements module, or the project
• Ask further questions
• Submit a request to be added to our newsletter mailing list

Please make sure to include some details on who you’d like to join and what you’d like to learn.
Key Takeaways

• Expanded functionality of UTRMS-Agreements available August 2nd:
  – Funds-Going-Out Subawards are included
  – IAP/VDA/RPA-related agreements are not included, for now
• Proposal/PRF submission has not changed, until launch of UTRMS-Grants
• OSP or OIE team member will direct you to submit the applicable Funded Agreement SmartForm, as needed
• Please join us at the Agreements Help Desk on Wednesdays at 10 AM with your questions!
THANK YOU