

How to Create and Submit a Material Transfer Agreement – Sending (Outgoing)

Step 1: Log in to the system

Login to [UT Research Management Suite - Agreements](#) using your UT Austin EID. You will be required to complete Duo authentication to finalize sign-in.

The *Dashboard* (Personal Workspace) is your home base for all activities in UT Research Management Suite. Your privileges are role-based, so your ability to perform certain tasks in the system are defined by your position at UT Austin. Any UT employee may create and submit an agreement request on behalf of an eligible PI. However, the PI must sign the finalized contract after it has been reviewed and approved by central office staff.

Step 2: Create Agreement

Click **Create** in the top left, followed by **Create Agreement**. You can also launch this process within the Agreements module by selecting the Agreements tab to the right of the Dashboard tab in the horizontal navigation bar and then clicking the Create Agreement button.

Step 3: Complete the Agreement Upload SmartForm Page (Questionnaire)

1. * *Agreement Manager / Principal investigator:*

Click the ellipsis box to call up a list of UT eligible PI's. Select from the list and click **OK**.

You may search by Last Name, Department, or EID to simplify the process. You may also perform a **Wildcard** search by entering a percent sign (%) and then a key word to search (Example: If you filter by Organization and type %Chem the system will search for any department with that sequence of text and populate a list of PIs belonging to either *Chemistry* or *Chemical Engineering*. Select the desired PI from this list and click **OK**.

2. * *Responsible department / division / institute:*

Click the ellipsis box to call up a list of UT departments, centers, and organized research units. Select from the list and click **OK**.

You may search by the Parent Organization or the Last Name of a departmental contact to simplify the process. You may also perform a wildcard search by entering a percent sign (%) and then a key word to search (Example: If you filter by Organization and type %Chem the system will search for any department with that sequence of text and populate a list of PIs belonging to either *Chemistry* or *Chemical Engineering*. Select the appropriate unit from this list and click **OK**.

3. * *Agreement Creator:*

Defaults to the logged-in user creating the submission. If you are creating a submission on behalf of a PI, you may also be contacted by central office staff to provide information during the course of their review.

You can remove yourself from this field and enter the name of another individual who will then affectively act as the *Agreement Creator*. However, you should only do this if you expect to have no future involvement with the record, as you will be locked out of it once you submit it for review. An appropriate occasion for replacing yourself with another individual as the Agreement Creator could be when you are temporarily filling in for an absent colleague who would normally create the agreement and is expected to return in time to tend to it for all future actions.

4. * Upload agreement draft: (or check the box below)

If an external party is requesting the material transfer agreement, click the **Upload** button to attach the document to your submission.

If the UT Austin PI is requesting the material transfer agreement of an external party, check the box for *Institution to generate first draft* under Upload Agreement Draft.

Note: you may either upload an agreement draft **or** check the box; you may not do both.

5. Title or internal reference number: (optional)

Type a short name for the agreement. As a guideline, keep it shorter than 50 characters. This text appears throughout the system as the agreement name, for example, in *My Inbox*.

This is for your internal use. It provides a way for you to organize your agreements, or your department's agreements if they require a particular business process, so that you can search for your record with a title that is familiar rather than trying to identify it with the system-generated Agreement ID.

6. * Agreement type:

Use the drop-down menu to select *Material Transfer Agreement*.

The listed agreement types in this menu create SmartForm questionnaires customized to collect information specific to the contract you need to create. For certain agreement types, the information you provide will merge with a template that will serve as your contract.

7. Description: (optional)

You may enter a brief description of the MTA in this text box to provide context for the reviewer in the central office assigned to your submission.

8. Supporting documents: (optional)

You can upload any supplemental support documents that you think may be relevant to the review process. Click the **+Add** button to attach the document to your submission.

9. Click Continue to move forward in the agreement questionnaire.

Continue automatically saves your entries and runs an error check process to verify that you addressed all required fields.

You may also save without continuing by clicking the **Save** button or exit the form by clicking the **Exit** button.

Step 4: Complete the General Information SmartForm Page (Questionnaire)

1. * *Select an organization:*

Click the ellipsis box to call up a list of contracting parties from the UT Sponsor Database. Select from the list and click **OK**.

You may search by the Sponsor Category (Foundation, Government Agency, other UT Department, etc.) or Parent Organization to simplify the process. You may also perform a **Wildcard** search by entering a percent sign (%) and then a key word to search (Example: If you filter by Organization Name and type %AE the system will search for any sponsor with that sequence of text and populate a list including *American Electromechanics (AE), our desired example case organization*). Select the appropriate organization from this list and click **OK**.

2. *Contracting Party Name:*

If an organization does not appear in the selection menu, you may enter one in the free text box. This will allow you to continue with your submission. Your entry will be reviewed by central office staff and added to the UT Sponsor Database.

3. *Contracting party contact name: (optional)*

If you know the name of the individual who will facilitate the administrative review for the contracting party, provide their name here.

4. *Contracting party contact e-mail: (optional)*

If you know the e-mail address of the individual who will facilitate the administrative review for the contracting party, provide that information here.

5. *Contracting party contact phone: (optional)*

If you know the telephone number of the individual who will facilitate the administrative review for the contracting party, provide that information here.

6. *Agreement Collaborators: (optional)*

If any institutional staff in addition to the Agreement Creator and PI (Agreement Manager) should also be given read/edit permissions for this agreement (Co-Investigator, administrator, lab manager, supervisor, etc.), you'll add them here.

Click the ellipsis box to call up a list of UT employees. Select from the list and click **OK**.

You may search by Last of First Name to simplify the process. You may also perform a **Wildcard** search by entering a percent sign (%) and then a key word to search (Example: If you filter by Last Name and type %Crad the system will search for any last name with that sequence of text

and populate a list of UT employees with the last names of *Craddock* and *McCrary* . Select the desired individual from this list and click **OK**. They will be given read/edit access to the record and can make changes and/or submit the agreement for review.

7. Click **Continue**

Step 5: Complete the Direction of Transfer & Associated Funding SmartForm Page

1. * *Are you receiving or sending material?*

Select the direction of transfer from the following options:

Sending – Outgoing transfers

Receiving – Incoming transfers

Reciprocal – Outgoing and incoming transfers

2. * *Is this agreement related to any grant or contract proposal, or an active grant or contract?*

If there is any associated proposal or awarded funding related to this agreement, click Yes and provide the following information:

* (3) *Select the Funding Sponsor:*

Click the ellipsis box to call up a list of sponsors from the UT Sponsor Database. Use the **Wildcard** search (%) functionality to narrow your search.

If an organization does not appear in the selection menu, you may enter one in the free text box. This will allow you to continue with your submission.

* (4) *What is the grant or contract number or internal OSP reference number for the proposal, grant or contract?*

Provide the OSP number or UTRMS-generated record ID associated with your proposal, contract, or award. In legacy systems, this number is 9 digits and begins with the associated year of submission (Example: 202001299). UTRMS-generated record IDs begin with *UTAUS-* followed by a 2 or 3-digit prefix (*MTA, FP, AWD, DUA*, etc.) followed by an 8-digit number (Example: *UTAUS-MTA-00000298*).

3. Click **Continue**

Step 6: Complete the Material Recipient Information - Sending SmartForm Page

1. * *For institutionally developed technology, are there transfer costs that should be reimbursed to your lab?*

Select Yes if there are expenses associated with preparation and distribution of the materials. Then complete the following:

* (2) *What is the total amount, in USD, excluding shipping, to be reimbursed?*

** (3) The costs being charged to the recipient must be equal or less than the costs actually required to prepare and distribute the materials, and must be consistent with the industry standards for transfer of the same or similar material. Can you confirm that the above amount meets both of these criteria?*

Select Yes to affirm that costs are required and reasonable.

2. ** Are you exporting material outside the United States?*

Select Yes if you are shipping to a foreign address. Then complete the following:

** (3/5) Can the material be purchased in the public domain? (available commercially without restriction)*

Select Yes or No

** (4/6) Do you have any reason to believe the end user may intend to use the material for military or defense systems? (including design, production, transfer, or re-export of the material to another party)*

Select Yes or No

** (5/7) Does the end user intend to re-export the material?*

Select Yes or No. If Yes:

** Specify the recipient, country, and final intended use:*

** (6/8) Is the material listed as a select agent in the US Patriot Act?*

Select Yes or No

** (7/9) Select one of the following:*

a. *ITAR: I have found the following export regulation classification applicable to the material:*

Select ITAR classification from the pull-down menu.

b. *EAR: I have found the following export regulation classification applicable to the material:*

Select EAR classification from the pull-down menu.

c. *Unknown: I am unsure which export regulation classification is applicable to the material:*

Select Yes or No

3. Click **Continue**

Step 7:

Complete the Material Description - Sending SmartForm Page

1. * *Identify the material:*

Use free text box to identify the material.

2. * *Is the material biological or chemical in nature?*

Select from the listed options:

Biological

If **Biological**, complete the following (if known):

(3) Identify any hazardous biological agents involved:

Use the pull-down menu to select from the list of agents.

(4) Has the material to be sent been genetically modified?

Select Yes or No. If Yes:

** Please describe the modifications, including whether the material is capable of altering animals, plants or microbiological substances in a way which is not normally the result of natural reproduction:*

(5) What biosafety level (BSL) will this research be conducted at?

Chemical

If **Chemical**, complete the following:

** (3) Are the chemicals hazardous? (check all that apply)*

Select from the listed options:

Flammable
Oxidizer
Explosive
Radioactive
Toxic
Corrosive
N/A

Other

3. **Are the materials in a chemical preservative (i.e. formalin, ethanol, etc.)?**

Select Yes or No. If Yes:

* Provide description:

4. * What is the material type? (check all that apply):

Select from the listed options:

Animal, Live

If **Animal, Live**, complete the following (if known):

(5) Strain or geno:

(6) IACUC Protocol Number:

Animal, Derivative Materials (tissue, organs, bones, etc.)

Antibody

Cell line

cDNA / Plasmid / Vector

Drug / Compound

Reagent

hESC / iPSC

Human Blood / Tissue

Bacterial

Plasmids

Polymers

Micro-Organisms

Other

If **Other**, enter the type:

5. Enter the IRB protocol number, if applicable (or exemption number):
6. Enter the IBC protocol number, if applicable:
7. Click Continue

Step 8:

Complete the Material Source - Sending SmartForm Page

1. * Identify the institution that developed the material:

Click the ellipsis box to call up a list of organizations from the UT Sponsor Database. Select from the list and click **OK**.

You may search by the Parent Organization or a Contact Name to simplify the process. You may also perform a **Wildcard** search by entering a percent sign (%) and then a key word to search (Example: If you filter by Organization Name and type %LBNL the system will search for any sponsor with that sequence of text and populate *Lawrence Berkeley National Lab (LBNL), our desired example case organization*). Select the organization and click **OK**.

If an institution does not appear in the selection menu, you may enter one in the free text box. This will allow you to continue with your submission. Your entry will be reviewed by central office staff and added to the UT Sponsor Database.

2. *Name of the investigator who developed the material:*
3. *What is the function of the material?*
4. *For material developed at your institution, does it incorporate research material received from others?*

Select Yes or No. If Yes:

** (5) Describe your institution's original material, its relationship to the third-party material, and now the materials have been combined:*

Use the free text box to provide information related to UT Austin's use of any third-party materials included in the transfer.

** (6) Identify the source of the third-party material:*

Click the ellipsis box to call up a list of organizations from the UT Sponsor Database. Select from the list and click **OK**.

If an institution does not appear in the selection menu, you may enter one in the free text box. This will allow you to continue with your submission. Your entry will be reviewed by central office staff and added to the UT Sponsor Database.

Complete the free text entries for:

Contact name
Contact e-mail
Contact phone

(7) How was the third-party material received?

Select from the listed options:

Material was received under a material transfer agreement
Material was received without an agreement
Material was purchased

5. Click **Continue**

Step 9:

Complete the Material Development - Sending SmartForm Page

1. ** Was development of this material funded by a sponsored research agreement or other extramural funding?*

Select Yes or No. If Yes:

** Enter Funding Source*

* Enter Internal OSP reference number

2. Is the material related to an invention, disclosed or not disclosed, at UT?

Select Yes or No. If Yes:

* List the UT Tech Disclosure Number:

3. Click **Continue**

Step 10: Complete the Material Use - Sending SmartForm Page

1. * Provide a concise scientific description of the recipient's use of this material
2. * List the quantity of the material to be transferred: (specify units)
3. Indicate if the material is being shipped using regular (wet) or dry ice:

Select from the listed options:

Wet Ice

Dry Ice

4. Will the material be used with material owned by an industrial or non profit agency?

Select Yes or No.

5. If outgoing transfer of the same material has been previously submitted and approved, please provide the MTA reference number:
6. Click **Continue**

Step 11: Complete the Additional Information SmartForm Page

1. Add any comments that will be helpful to the MTA staff:
2. Click **Continue**

Step 12: Submit the Agreement

1. In the Record Workspace, click **Submit** from the list of Actions on the left-side navigation tab.
2. Attest that all information is accurate and filled out to the best of your ability by clicking **OK**
3. Click **Submit**